IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 13226US02

In the Application of:

G. Ungerboeck et al.

U.S. Serial No.: 09/898,850

Filed: July 3, 2001

For: SYSTEM AND METHOD FOR HUFFMAN SHAPING IN A DATA COMMUNICATION SYSTEM

Examiner: Dac V. Ha

Group Art Unit: 2634

Conf. No.: 1485

CERTFICIATE OF TRANSMISSION

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Joseph M. Butscher Reg. No. 48,326

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Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the references listed on the attached PTO Form be considered in the examination of the above-identified patent application:

Consideration of the listed references in the examination of the present patent application is respectfully requested.

Please charge any other fees due in connection with this statement to Deposit Account 13-0017.

Date: September 1, 2005

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Respectfully submitted,

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PTO/SB/08a (08-03)

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Sheet	1	of	2	Attorney Docket Number	13226US02	<u> </u>	

U.S. PATENT DOCUMENTS						
Expression in the last section in the last sec	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Priges, Columns, Lines, Where Relevant Prasaggs or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS						
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Substitute for form 1449B/PTO Complete if Known Application Number 09/898,850 INFORMATION DISCLOSURE Filing Date July 3, 2001 STATEMENT BY APPLICANT First Named Inventor Ungerboeck Art Unit 2634 (Use as many sheets as necessary) Examiner Name Dac V. Ha Sheet of 2 Attorney Docket Number 13226US02

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		G. Ungerbrocck, "Information-Theoretic Reflections on PCM Volceband Moderns," in Codes, Curves, and Signals - Common Threads in Communications, edited by A. Vardy, Kluwer Academic Publishers, 1988				
		R.E. Blahut, "Computation of Channel Capacity And Rate-Distortion Functions," IEEE Trans. Inform. Theory, vol. 18, pp. 480-473, 1972				
		Q. Ungerbroeck, "Trellis-Coded Modulation With Redundant Signal Sets Part II: State Of The art," IEEE Communications Magazine, vol. 25, pp. 12-21, February 1987				
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